Abstract—In this article, we evaluate the applicability of Genetic Programming (GP) for the evolution of distributed algorithms. We carry out a large-scale experimental study in which we tackle three well-known problems from distributed computing with six different program representations. For this purpose, we first define a simulation environment in which phenomena such as asynchronous computation at changing speed and messages taking over each other, i.e., out-of-order message delivery, occur with high probability. Second, we define extensions and adaptations of established GP approaches (such as tree-based and Linear Genetic Programming) in order to make them suitable for representing distributed algorithms. Third, we introduce novel rule-based Genetic Programming methods designed especially with the characteristic difficulties of evolving algorithms (such as epistasis) in mind. Based on our extensive experimental study of these approaches, we conclude that GP is indeed a viable method for evolving non-trivial, deterministic, non-approximative distributed algorithms. Furthermore, one of the two rule-based approaches is shown to exhibit superior performance in most of the tasks and thus can be considered as an interesting idea also for other problem domains.

Index Terms—Genetic Programming, SGP, LGP, Rule-based Genetic Programming, Fraglets, Distributed Algorithms, Election, Critical Section, Mutual Exclusion, GCD

I. INTRODUCTION

Computer networks are one of the most vital components in today’s economy and society on the whole. Virtually every computer is either already part of a distributed system or may become one in future [2]. While many internet technologies, protocols, and applications grew into maturity and have widely been researched, new forms of networks and distributed computing have emerged during the recent years. Amongst them, we can find large-scale wireless networks, mobile ad hoc networks (MANETS) [3], sensor networks [4], wireless sensor networks [5], Smart Home environments [6], Ubiquitous Computing [7], and experimental ideas like Amorphous Computing [8].

The devices interacting in such networks often have scarce resources, such as little memory, low processing power, and a limited battery capacity. Additionally to these restrictions, new requirements such as self-adaptation and self-organization arise. A software architect designing a sensor network, for instance, must not only be concerned with functional aspects like data aggregation [9] associated with the purpose of the system, but also consider non-functional criteria such as energy consumption throughout the complete software engineering process. This results in the development of new algorithms for traditional problems such as election [10–12], often with changed requirements, priorities, and framework conditions.

A. Motivation

The design of a program for a distributed system is the transformation of an expected behavior of a network as a whole to a program which must be executed on each of its nodes in order to achieve this behavior. In other words, a (known) globally beneficial target configuration is translated into local rules. Investigating new methods for this purpose and evaluating their utility can be considered as a good idea in the current time.

In this context, many researchers are drawing inspiration from biological systems in order to face the new challenges of the emerging network technologies [13]. Natural swarming behaviors [14], for example, have been adapted as a paradigm for manually designing routing algorithms [15].

At second glance, we find that the ability of organisms to form swarms is the result of evolution. Over millions of years, this process transformed a beneficial global system configuration to local behavior rules. This way, many efficient natural distributed systems were created along with all local interaction patterns needed to maintain them.

Existing natural systems often have desirable features such as resilience and scalability. Facets of these systems are thus often emulated in order to equip distributed systems with similar features, as is the case in Swarm Intelligence-based routing. By imitating the process which created these facets instead, we consider a more basic approach in this article: the evolution of complete distributed algorithms with Genetic Programming.

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B. Evolving Distributed Algorithms

Evolutionary Algorithms (EAs) [16–18] are metaheuristics which are inspired by natural evolution in order to solve complex problems. With the foundations laid in the 50s of the past century [19], they have successfully been applied to an incredibly wide range of problem domains. The set of Evolutionary Algorithms for deriving programs is called Genetic Programming (GP) [18, 20, 21]. In this article, we outline our results on utilizing GP in order to automate the transformation from global to local behavior of distributed systems, i.e., the design of distributed algorithms [22]. We specifically describe the synthesis of algorithms for systems with asynchronous computation and heterogeneous processing speeds of the nodes and aim for non-approximative, discrete computations.

![Fig. 1. Evolving distributed algorithms with Genetic Programming [22].](image)

Fig. 1 illustrates this new design process. It starts with a specification of the desired (global) system behavior. Objective functions, i.e., optimization criteria, which rate “how close” the behavior of an evolved program comes to the target behavior are derived manually from the specification. They fuel the Genetic Programming process which begins with an initial population consisting of randomly created programs. These programs are refined iteratively. In every iteration (generation), each program is executed on all nodes of a simulated distributed system. The objective functions access the simulations and evaluate the observed behaviors. Their results are then the basis of a subsequent selection step during which only best candidate programs are preserved. These programs become subject to the reproduction operations (mutation and crossover) and with their offspring, the cycle starts again. Step by step, distributed algorithms emerge which fulfill the initial behavior specification. Finally, the software engineer receives the set of candidate solutions which satisfy the objective functions best.

C. Contribution

With this article, we make the following contributions to the area of applying Genetic Programming to distributed computing.

1) By thoroughly evaluating the approach proposed in the previous section and based on our preliminary work [23–26], we answer the question whether Genetic Programming is an appropriate method for synthesizing distributed algorithms positively.

2) We define a model for distributed systems in which phenomena such as parallelism, messages which take over each other, and asynchrony occur with high probability. We implement this model in a high-speed simulation environment suitable for Genetic Programming and use this environment to extend our previous work [23] with a large-scale experimental study by tackling three well-known problems from distributed systems with multi-objective Genetic Programming using six different program representations. Our work is the first application of GP to two of the three problems (the distributed GCD and the critical section problem). Also, as far as we know, no study has yet been performed which involves multiple different program representations for synthesizing distributed algorithms by other researchers. As we will show in Section II, only very little work has been contributed to this area by the evolutionary computation community in general. This holds especially for close-to-reality scenarios with, for instance, realistic parallelism and message latency assumptions, as used in our experiments.

4) Two of the test program representations combine a rule-based syntax with an implicit form of “transactional” memory. They have been developed by us especially with the characteristic difficulties of the problem area in mind [24]. We show that one of them, the Extended Rule-based Genetic Programming method, exhibits superior performance in comparison with the other approaches which makes it interesting for other application areas as well.

5) We furthermore define extensions to three of the other representations (two standard GP and one linear GP approach) which make them useful for evolving distributed algorithms, such as two forms of memory and a support for concurrency.

The remainder of this article is structured as follows. First, we provide a discussion of work related to our approach in Section II. In Section III, a clear definition of both, the model of the distributed systems we wish to evolve programs for and the simulations in which we test them is given. The idea of synthesizing distributed algorithms with GP is very intriguing. Yet, there is a set of features which make actual success in this class of optimization problems less likely. We investigate these features in Section IV, where we also propose countermeasures against them. Our novel program representations, developed especially with respect to this difficulty analysis, are introduced in Section V. Generally, programs can be defined in a variety of different ways and in Section VI, we also introduce adaptations of four other, well-known concepts to our problem domain. In this section we describe the results of three large-scale experiment series: evolving leader election algorithms (Section VI-C), distributed mutual exclusion methods (Section VI-D), and algorithms for computing the greatest common divisor of a set of numbers (Section VI-E). We draw conclusions from the gathered expe-
rances, summarize our findings, and give pointers for future work in Section VII.

II. RELATED WORK

Many aspects of distributed systems are configurable or depend on parameter settings, such as the topology, security, and routing. Using metaheuristic optimization algorithms for this purpose has huge potential.

A. Metaheuristics for Distributed Computing

And indeed, this potential is widely utilized. The study by Sinclair [27] from 1999 reported that more than 120 papers had been published on work which employed Evolutionary Computation for routing, optimizing network topologies, dimensioning, node placement, and other system design decisions. The comprehensive master’s thesis by Kampstra [28] from 2005 builds on this study, classifies over 400 papers, and identifies the area as the most active research field in Evolutionary Computation at that time. In the year 2000 alone, two books ([29] and [30]) have been published on the topic of evolutionary telecommunications and further summary papers appeared [31, 32]. The recent studies [33–36] as well as the special journal issue [37] and the high number of papers published every year show that the interest in applying metaheuristic optimization techniques in this problem domain has by no means decreased.

Most of the research on the application of optimization methods to distributed systems focuses on routing (with, for instance, Ant Colony Optimization [15] or Genetic Algorithms (GAs) [38] or offline GP [39]), network design problems (with GAs [40, 41], GP [42], Estimation of Distribution Algorithms (EDAs) [43], or Tabu Search [44], for example), security issues (e.g., with linear GP [45]), or system configuration [46] (using, for instance, Hill Climbing and Simulated Annealing [46], EAs [47], or GP [48]).

An especially interesting application in this field is the optimal configuration of software parameters of protocols. Here, the work by Tate et al. [47] on the sensor network tuning problem may serve as a notable example: The parameters of a TTL-bounded gossiping protocol are optimized with respect to five criteria concerning performance, reliability, and efficiency. Tate et al. [47] carried out experiments with both, traditional design of experiment (DoE) methods and an EA to solve this multi-objective problem. They showed that both methods have specific merits and that EAs can outperform DoE in some quality objectives while losing in others.

Regardless of the success in the area of algorithm parameter adaptation, only few researchers have considered the synthesis of distributed algorithms and even less work has been contributed to this domain under close-to-reality conditions such as asynchronous communication and asynchronous process execution on the nodes of the distributed systems.

B. Evolving Protocols and Algorithms

The transition between distributed algorithms and protocols is seamless. Both require information exchange following specific patterns, but algorithms additionally involve some computation on the nodes of the networks.

In [49], Yamaguchi et al. define the problem of transforming a service specification given as a Petri Net with registers to a protocol specification in the same format. The service specification is structured like a program for a centralized system and hence, does not detail any message exchange [50]. The protocol specification defines how the different entities involved in the computation communicate with each other.

El-Fakihi et al. [50] show how to synthesize such protocol specifications with 0-1 integer linear programming and a hybrid Genetic Algorithm under the objective of minimizing communication costs.

An especially interesting approach to protocol synthesis has been contributed by de Araújo et al. [51]. The starting point of their process is a finite state machine describing the interaction of a sender and a receiver – again as it would happen on a local system. Transitions between the states of the FSM are triggered by output and input events and from both user processes. This specification is then transformed with a Genetic Algorithm to FSMs describing the protocol interactions locally for the sender or receiver.

El-Fakihi et al. [50] and de Araújo et al. [51] hence describe methods to automate the design of data and protocol flows. Their starting point is a localized view on the system behavior which is then completed to a global specification with the synthesized interactions between system entities. Our goal, on the other hand, is to translate given global behaviors to local rules. Furthermore, we do not solely wish to find communication sequences and message structures, but complete algorithms for distributed computations (which subsume both).

During the advent of distributed multi-agent systems in the mid to late 1990s, various researchers considered the automatic generation of communication patterns [52–54]. Most of them used Genetic Programming approaches to evolve cooperation based on information exchange but only concerned synchronous systems or control tasks. A popular example is the pursuit scenario [53], where two or more predator agents have to exchange information about their prey and use this information efficiently in order to capture it. Communication in the agent-centric related works is usually instantaneous [52, 53] and the simulations are synchronous [52, 53]. Our goal is to evolve algorithms for asynchronous systems, which poses different requirements onto the program representation (see Section VI-A5). Furthermore, the tasks in this kind of related work are rather fuzzy – agent movements which roughly go into the right direction for most of the time e.g., will lead to success in predator/prey scenarios. In our work, we investigate whether it is possible to evolve algorithms like computing the distributed greatest common divisor which do not permit approximate results or wrong intermediate results.

Tschudin [55] contributed a new way for representing protocols in 2003: Fraglets. We considered the Fraglets representation as one approach for evolving distributed algorithms in our experiments too and therefore, discuss it in more detail in Section VI-A4. One aspect of the structure of Fraglets is that the language is simple and all constructs are always syntactically correct. Tschudin [55] therefore anticipated that
it would be suitable for evolving protocols. In his initial experiments where he aimed to create a confirmed message delivery protocol, no satisfying results could be reported. As reason for these problems, he identified the needle-in-a-haystack (NIAH) nature of the evolution of algorithms which is also one of the problems discussed in our analysis (see Section IV-C).

Tschudin’s research group then shifted its focus from the offline evolution of protocols (which is the subject of this article) to their online adaption in running systems. Yamamoto and Tschudin [56, 57] create populations containing a mix of different confirmed delivery and reliable delivery protocols for messages. These populations were then confronted with either reliable or unreliable transmission channels and were able to adapt to these conditions quickly. Re-adaptation in a later stage, however, proved again to be harder.

The experiments on protocol evolution and adaptation by Tschudin alone and in cooperation with Yamamoto both focus mainly on protocols, on the way information is delivered from one node to another in a distributed system. They do not involve additional computations on the nodes.

Artificial life approaches have been applied to the evolution of communication patterns as well. First results in this area have been reported by Werner and Dyer [58] in the early 1990s. Amongst the ALife methods, the recent Digital Evolution approach by McKinley et al. [59–61] based on the Avida platform [62] deserves most attention here. In our algorithm synthesis approach, Genetic Programming evolves a population of programs, each evaluated in separate simulations and well-known reproduction operators are used to explore the search space. In Avida, on the other hand, programs are self-reproducing organisms which co-exists in the same (simulated) distributed system. Apart from these conceptual differences, both concepts also exhibit an interesting duality. The first experiments with them were on distributed leader election [26, 63] and were conducted independently at around the same time. Again independently and simultaneously, different forms of interaction with Model-Driven Development environments and UML model generating facilities were developed [25, 60].

A general weakness common to all the related work listed in this section is the lack of comparisons with different Genetic Programming techniques. In the Digital Evolution, for instance, the instruction set and virtual CPUs of the programs may change, the linear Genetic Programming-like structure of the programs, however, remains the same. The research papers mentioned above rarely raise the question whether there may exist different forms of program representations exhibiting more favorable traits. In the case that a new representation has been developed, the direct comparison with other methods is usually omitted. Furthermore, experiments tend to be limited to simple tasks such as leader election.

While the first problem results from the architectural challenge and the high effort that cross-representation comparisons require, the second one is the result of the hardness of Genetic Programming of distributed algorithms. Indeed, the problem difficulty rises quickly with the complexity of the global behavior to be created, which leads to unsatisfying experimental results – both issues have been mentioned by Tschudin [55] and experienced by the authors themselves [22]. Nevertheless, in this article we provide a comparison of six different program representations [22] applied to three different problems. We furthermore propose one possible answer to the question for better program representations and deliver evidence clearly supporting this claim.

III. DISTRIBUTED SYSTEMS MODEL

As pointed out in the introduction, there exists a wide variety of distributed systems, each having their own special features and peculiarities. In this section, we want to detail the class of distributed systems for which we want to synthesize algorithms.

A distributed system is a set of autonomous systems (nodes) which are connected by a network and communicate via the exchange of messages [2, 64–66]. Distributed algorithms [66, 67] are algorithms which are executed by multiple computers in a distributed system and cooperatively try to solve a given problem. There usually exists no shared global state information and each node has only knowledge about the information locally available on it. Information from other nodes can only be obtained by communication via message exchange. Our goal is to evolve algorithms which can become part of the software controlling all the nodes of a distributed system, modules which are suitable for specific task.

A. Algorithm Class

Most of the algorithms we want to synthesize are non-approximative. In other words, they compute distinct results which are, in our case, integer-valued. In Section VI-E, for instance, we discuss the evolution of the algorithms for computing the greatest common divisor of $n$ numbers. A result of such a computation is either right or wrong, and even if it is only 1% off, it is still wrong. Such algorithms differ greatly from aggregation protocols [9, 68], for example, where real approximations of actual values are computed.

B. State Model

One of the key points of our work is that we consider the evolution of distributed algorithms for asynchronous systems [65]. By doing so, the results can clearly be distinguished from, for instance, the works presented in [52], and also reflect the applicability of Genetic Programming to distributed systems in real-world scenarios.

In Fig. 2, we sketch an example of how a distributed algorithm could proceed using a notation similar to Tanenbaum and van Steen’s in [2]. A node (and the process running on it) according our network model is always in one of the three states illustrated in Fig. 3:

1) A node is active if it is currently executing instructions of the distributed algorithm. An active node may send or receive messages.

2) A node is passive when it is currently not executing any instruction but waiting for incoming messages. It cannot

\footnote{In [22], we additionally consider explicit termination.}
there apparently are only few contributions to the ... 70 where it corresponds to a Fraglet). For each node ...

in a certain memory cell and update it during their run. ... 71 computation results. They store their best-guess of the result ...

from \[ \frac{1}{2} \] Fig. 4. Asynchronous parallelism in a model of a network of five nodes. ... 65 Fig. 3. The state diagram of the nodes in our simulations (simplified from [22]).

send messages in this state but may become active again and perform some computations when receiving one.

3) A node is erroneous if it has performed an erroneous or forbidden action.

C. Parallelism Model

One feature of the systems we consider is that their nodes proceed asynchronously. This means that the local progresses of the processes running on them may differ greatly and that the processors on the nodes may run at different speeds.

For evaluating the evolved programs, we use a network simulation in which time proceeds in discrete steps. In each time step, one active node is picked randomly according to the uniform distribution to perform one execution step. On average over infinite time, all nodes will perform the same amount of algorithm steps. For a given time period however, it is possible that one node can execute five steps while another one just proceeds by two, for example, as sketched in Fig. 4.

The network simulations run for a fixed number of time steps and are terminated thereafter. Partly because of this runtime limitation, in two of the performed experiments, programs evolved that iteratively approximate and refine the computation results. They store their best-guess of the result in a certain memory cell and update it during their run.

D. Network Model

A message in our system is a fixed-length sequence of integers (except for the Frag program representation discussed in Section VI-A4 where it corresponds to a Fraglet). For each node \( n \) in a network \( N \), the neighbor set \( \text{neighbors}(n) \subseteq N \) \( n \) is the set of all other nodes to which \( n \) can send messages. Whenever a node \( n \) transmits a message, it is treated as a multicast to all the nodes in \( \text{neighbors}(n) \). This scenario basically holds for many wireless and wired distributed systems alike. Like in many real systems, unicasts could be emulated by message filtering methods and it lies in the responsibility of Genetic Programming to evolve such behavior if needed.

In our simulations, we also consider transmission latencies since we aim for asynchronous systems. We simulate this by splitting each single message into \( |\text{neighbors}(n)| \) identical transmissions, each targeting exactly one node and having its own latency. In the experimental settings of each of the three analyzed problems, we will choose a different topology for investigation.

The communication latency in a real network depends on many factors [65, 69] and it is hard to estimate it correctly with any probability distribution. We therefore decided to randomly pick message delays according to the uniform distribution. With this distribution, the probability of phenomena such as messages taking over each other is very high. Thus, simulations based on this model are more challenging for the algorithms being evaluated and the chances of discovering errors or deficiencies is the highest.

Although our system can simulate packet loss, in the experiments presented here we assumed a reliable communication medium. Yet, long message delays are possible in the simulation and such delays come, to a certain degree, close to the loss of a message. Interestingly, many of the synthesized algorithms turned out to be invulnerable to the loss of certain messages, see, for instance, the evolved mutual exclusion algorithms presented in Section VI-D3.

In the simulations, there is a limit to the maximum number of messages a node can send in order to prevent memory overflows in the simulator. It is usually set to values in \( O(n^{2.5}) \) or \( O(n^3) \) where \( n \) is the number of nodes in the network. If a node exceeds this limit, it is terminated as erroneous.

IV. DIFFICULTIES IN EVOLVING ALGORITHMS

As outlined in our related work study given in Section II-B, there apparently are only few contributions to the area of evolving distributed algorithms which perform non-approximative computations in asynchronous systems. The reason for this absence of research is that the associated optimization problems are very difficult [55]. Hard enough that the optimization process may degenerate to a random walk if no measures are taken. For this difficulty, there are several reasons [22, 70, 71] which we will shortly outline in this section.

A. Ruggedness and Weak Causality

Optimization algorithms generally depend on some form of gradient in the objective space. The objective functions should
be continuous and exhibit low total variation. If they are unsteady or fluctuating, i.e., rugged, optimization becomes more complicated.

Strong causality means that small changes in the properties of an object also lead to small changes in its behavior [72]. In fitness landscapes with weak causality, small modifications of the individuals instead lead to large changes in the objective values and make the fitness landscape rugged.

**Fig. 5**: Example for weak causality in Genetic Programming.

Exactly this is the case in algorithm synthesis problems. In Fig. 5, we sketch a program for computing the factorial \( p = a! \) of a natural number \( a \in \mathbb{N}_1 \) in Java or C notation on the left hand side. Such a program could have evolved with Genetic Programming in a tree or a linear representation. Assume that the program illustrated on top of the right hand side, where the assignment in line 6 is replaced by a +, was the result of the application of a search operation to the left program. This modification then clearly constitutes a violation of causality, since its result is a program behaving very differently and hence, induces a jump in the objective functions. Notice that such phenomena are especially intense in the problem class we envisaged in Section III-A.

**B. Epistasis**

This lack of causality is rooted in the high epistasis inherent to most of the program representations applied in Genetic Programming. In biology, epistasis is defined as a form of interaction between different genes [73]. In optimization, epistasis is the dependency of the contribution of one gene (an instruction of a program in our case) to the value of the objective functions on the allelic state of other genes [18].

In a conventional computer program, not only the presence of an instruction and its semantics are important, but also its position. Strong positional epistasis exists in both, linear and tree-based forms of Genetic Programming which have been designed with conventional program structures in mind [24]. This issue is illustrated at the bottom right of Fig. 5.

Let us consider a program \( P \) as a function \( P : I \rightarrow O \) that connects the possible inputs \( I \) of a system to its possible outputs \( O \) [24]. Two programs \( P_1 \) and \( P_2 \) can be considered as equivalent if \( P_1(i) = P_2(i) \) \( \forall i \in I \). For the sake of simplicity, we further define a program as a sequence of \( n \) statements \( P = (s_1, s_2, \ldots, s_n) \). There are \( n! \) possible permutations of these statements. We define \( \xi(P) \) as the fraction \( \xi(P) = \frac{\text{\# of permutations that lead to programs equivalent to } P}{n!} \). If we assume that recombination operators often effectively permute instructions, the probability of creating highly-fit offspring from highly-fit parents in program representations where \( \xi \) is usually low will be low too. Thus, exhibiting high values of \( \xi \) for many programs, i.e., having low positional epistasis, is a beneficial feature of a program representation [24].

Avoiding epistatic effects should be a major concern of the design of program representations [24] which, unfortunately, has been neglected in the past. Besides the rule-based approaches presented in this paper, the authors have knowledge of only two other methods which reduce epistasis in GP on representation/execution model-level:

1) **Algorithmic Chemistries**: Lasarczyk and Banzhaf [74–76] developed a Genetic Programming approach called Algorithmic Chemistry where positional epistasis is circumvented. It basically is a variant of linear Genetic Programming (see Section VI-A3 on page 9) where the execution order of the single instructions is defined by some random distribution instead of being fixed as in normal programs. Of course, if the instructions of a program are always executed in a random order, there can be no positional dependencies between them \( (\xi \rightarrow 1) \) and they can freely be permuted. The drawback of this approach is that the programs are no longer deterministic and their behavior and results may vary between two consecutive executions. Therefore, this method does not lend itself to the evolution of deterministic distributed algorithms.

2) **Soft Assignment**: Another approach for reducing the epistasis is the soft assignment method (memory with memory) by McPhee and Poli [77]. It implicitly targets epistasis by weakening the way values are assigned to variables. In traditional programs, instructions like \( x=y \) or \( mov x, y \) will completely overwrite the value of \( x \) with the value of \( y \). McPhee and Poli replace this strict assignment semantic with \( x_{t+1} = y_t \leftarrow \gamma y_t + (1-\gamma)x_t \) where \( x_{t+1} \) is the value that the variable \( x \) will have after and \( x_t \) its value before the assignment. \( y_t \) is the value of an arbitrary expression which is to be stored in \( x \). The parameter \( \gamma \) is a constant that indicates the assignment hardness [77].

For mathematical or approximation problems, this approach is very beneficial. The drawback of programs using soft assignment is that, although they are deterministic, they are approximative and cannot compute precise values as required in some discrete problems. One example for such a problem where soft assignments cannot be applied is the Greatest Common Divisor experiment discussed in Section VI-E.

Besides the new rule-based approaches, we furthermore strengthen the causality in linear program representations (LGP, Frag) by applying homologous crossover [78].
C. Correctness

The epistasis-induced ruggedness in the fitness landscape of Genetic Programming of non-approximative, deterministic, distributed algorithms makes it hard to find good candidate solutions. Another problem is the definition of good itself.

Determining the correctness of programs in Turing-complete representations will never be generally possible [79, 80], although model checking approaches such as SPIN [81, 82] with which asynchronous distributed algorithms can be processed [83] made large progress in the recent years.

Instead, the only general way to determine a program’s behavior is by simulating it. In our case, this means executing the program in a simulated network. Here, a training case is characterized by the values of all parameters of the network, including the number of nodes, the network topology, the message latencies, and the assignments of execution steps to the nodes. It is easy to see that exhaustive testing of all possible training cases is not possible. Hence, the program behavior is approximated instead of determined.

Therefore, we use the notion of functional adequacy as defined by Gleizes et al. [84] instead of correctness in our work: When a system has the “right behavior – judged by an external observer knowing the environment – we say that it is functionally adequate.” In our GP system, the objective functions act as external observers.

It should be noticed that an objective function solely rating the correctness of a program for a given problem – maybe returning 0 for wrong and 1 for correct – would be of low utility in any metaheuristic optimization process anyway. It would lead to a needle-in-a-haystack problem, also known as the all-or-nothing-feature of Genetic Programming [55], since it provides no gradient information at all and the performance of the optimizer degenerates to the one of a random walk. What is needed instead is a formulation which allows rewarding also “partial adequacy” which can be done by evaluating simulations. For our experiments, we define objective functions with exactly this feature in Section VI-C1, Section VI-D1, and Section VI-E1.

D. Overfitting

When evolving algorithms by using training cases for the fitness evaluation, there is a high chance of overfitting [85]. Programs may emerge which have learned the right response to each scenario instead of being general solutions. Such programs are not correct and only behave adequately for exactly the scenarios used for training but will fail in scenarios with even only slightly changed parameters.

We apply two measures against the problem of overfitting: First, we introduce a non-functional objective function putting pressure into the direction of smaller programs. Since overfitted programs often resemble large decision tables, this reduces the probability of producing them. At the same time, this measure also reduces bloat (uncontrolled growth in program size) and introns (program parts which do not contribute to the functional fitness) [20, 86]. Second, we generate multiple, randomized training cases which are replaced after each generation of the EA and set the final objective value of a program to be the arithmetic mean of its scores achieved in the scenarios. It should be noted that using multiple training cases leads to more stable objective values and reduces the probability of outliers, but has to be paid for with an increase in runtime [47].

V. Rule-based Representations

In Section IV-B we have argued that epistasis is one of the key problems in Genetic Programming. There exists one class of Evolutionary Algorithms that elegantly circumvents positional epistasis: the (Learning) Classifier Systems (LCS) family [87]. In the Pittsburgh LCS approach [88], a population of rule sets is evolved with a Genetic Algorithm. Each individual in this population consists of multiple classifiers (the rules) which transform input signals into output signals. The evaluation order of the rules in such a classifier system plays no role except maybe for rules concerning the same output bits, hence $\xi \approx 1$. The idea behind our new GP approaches described in the following text is to use this knowledge to create a new program representation that retains high $\xi$-values in order to become more robust in terms of reproduction operations [22, 24].

A. Rule-based Genetic Programming [RBGP]

The first step into this direction is the Rule-based Genetic Programming (RBGP) method introduced in its original form in [24]. A RBGP program consists of arbitrary many rules, each divided into two conditions and an action which is executed if the conditional part evaluates to true. The conditions each compare the values of two symbols and are concatenated with either the $\lor$ or $\land$ operator. In RBGP, each symbol identifies an integer variable, which is either read-only (r/o) or read-write (r/w). Some r/o symbols are defined for constants such as 0 and 1. The r/w symbol start is only 1 during the first application of the rules and reset to 0 afterwards (it can, however, be set by the program itself). Furthermore, a program can be provided with some general-purpose variables ($a, b, \ldots$). Symbols with special meanings are introduced for evolving distributed algorithms: input symbols $i_1, i_2, \ldots$ where the contents of incoming messages will occur and variables $o_{t1}, o_{t2}, \ldots$ which are mapped to the integer fields of an outgoing message on transmission are added. A message is sent with a special send action and a special symbol incomingMessage is automatically set to 1 whenever a message arrives.

1) Execution of RBGP Programs: The value of symbols can either change because of data incoming from the outside when messages are received or by the actions of the program itself. In RBGP, actions do not directly modify the values of the symbols but rather write their results to a temporary storage. After all rules have been processed, the temporary storage is committed to the actual memory as sketched in Fig. 6. The symbols in the condition part and in the computation parts of the actions are annotated with the index $t$ and those in the assignment part of the actions are marked with $t + 1$ in order to illustrate this issue.
the programs can be Section VI-D then represents a variant of selection sort. was translated to \( \text{'s} \) Fig. 7 Hu \( ^{24} \)

any influence on the behavior of the program. Therefore, the symbols. All other rules can be freely permuted without any influence on the behavior of the program. Therefore, the positional epistasis in RBGP is very low and \( \xi \approx 1 \).

Second, the cardinality of the rules plays no role either. The evolutionary process may, for instance, duplicate one rule in a reproduction step without direct influence on the functional evolution. In biology, similar processes are assumed to significantly contribute to evolution \( [89, 90] \). RBGP is thus one possible answer to Hu and Banzhaf's \( [91] \) question for transposing this biological mechanism to GP.

2) Levels of Independence: This approach generates two levels of independence which are not available in normal program representations. First, it allows for great amount of disarray in the rules since the only possible positional dependencies left are those of rules which write to the same symbols. All other rules can be freely permuted without any influence on the behavior of the program. Therefore, the positional epistasis in RBGP is very low and \( \xi \approx 1 \).

Second, the cardinality of the rules plays no role either. The evolutionary process may, for instance, duplicate one rule in a reproduction step without direct influence on the functional evolution. In biology, similar processes are assumed to significantly contribute to evolution \( [89, 90] \). RBGP is thus one possible answer to Hu and Banzhaf's \( [91] \) question for transposing this biological mechanism to GP.

The sets of symbols and actions are specified before the Genetic Programming process starts. Based on the fixed structure, a straightforward binary encoding is constructed as sketched in Fig. 7 and the programs can be evolved with a normal GA \( [24] \).

B. Extended Rule-based Genetic Programming \([eRBGP]\)

Rule-based Genetic Programming is designed with the goal to lower epistasis and hence, to increase the causality which in turn should lead to a reduction of the ruggedness in the fitness landscape. If this could be achieved, the Genetic Programming processes would likely result in better solutions. However, RBGP is still limited in two aspects: power and expressiveness.

1) Power: The original RBGP method is not Turing-complete. Teller \( [92] \) and Woodward \( [93] \) both argued that this feature is present in program representations with indexed memory. Hence, we introduced such an extension to RBGP in order to test whether Turing completeness is helpful for the evolution of distributed algorithms also in situations where it is not strictly required. We define the notation \( [a_i] \) which stands for the value of the \( a_i \)th symbol at time step \( t \) in the ordered list of all symbols. In this, it is equivalent to a simple pointer dereferentiation \( (*\) in the \( C \) programming language.

With this extension alone, it now becomes possible to use the RBGP language for defining list sorting algorithms, for instance. Assume that the following symbols \( i_0, i_1, \ldots, i_{n-1}, l, a, b \) have been defined and arranged in that order (starting with \( i_0 \) at index 0) in memory. The symbols \( i_0 \) to \( i_{n-1} \) constitute the field which is used to store the list elements and \( l \) is initialized with the length of the list. Listing 1 then represents a variant of selection sort.

2) Expressiveness: Another restriction of the initial RBGP approach is that its rules always consist of exactly two conditions. Since logical operators and variables for storing values are available, arbitrarily complex conditions can be expressed. This expressiveness is, however, achieved by allocating variables for temporary evaluation results and additional rules. It comes with the trade-off of rising epistasis and decreasing causality, hence jeopardizing the purpose of Rule-based Genetic Programming, the reduction of epistasis.

If the statement in Listing 2 was translated to RBGP syntax, we would need four rules and an additional variable \( e_i \). The result presented in Listing 3 clearly shows the increase in

![Fig. 6. A program computing the factorial of a natural number in Java, C, or C# and RBGP syntax.](image)

![Listing 1. A simple selection sort algorithm written in the eRBGP language.](image)

![Listing 2. A complex conditional statement in a C-like language.](image)

![Listing 3. A comparison of the symbolic and phenotypic representation of a selection sort algorithm in RBGP.](image)
We therefore extend the expressiveness in eRBGP by dropping the constraints on the structure of the rules and allow the formulation of arbitrarily complex expressions [22]. Thus, the eRBGP version of Listing 3 (given in Listing 4) becomes much simpler.

Because of this increase in expressiveness, the eRBGP programs cannot be encoded in fixed-length binary strings anymore and we use tree genomes instead.

VI. Experiments

We applied a set of six Genetic Programming methods to three problems from distributed computing in order to obtain a good understanding of their utility in this domain. Additionally to our two new rule-based approaches, we test tree-based Standard Genetic Programming, linear Genetic Programming, and Fraglets, a programming paradigm inspired by bio-chemical metabolisms. The three problems to which we will apply these approaches are the evolution of election algorithms, of mutual exclusion algorithms, and of algorithms for computing the greatest common divisor. The problems have characteristic properties and differ in hardness, the number and structure of objective functions, and network topologies. In this section, we will first describe the additional program representations and then discuss our experiments in depth.

A. Program Representations for Comparison

Besides the two rule-based methods, we tested four other program representations in order to cover a wide range of different GP approaches in our experiments. These additional approaches are based on well-known representations and contributions from related work. Like in the rule-based programs, data (such as the content of memory cells) is always in 32-bit signed integer format in them and similar to the C programming language, Boolean expressions are also integer-valued, i.e., false if 0 and true otherwise.

1) Standard Genetic Programming with Memory [SGP]: The baseline approach for Genetic Programming is to use a standard, tree-based genome. Koza [21] defined such genomes back in 1992. In our experiments, we use the tree representation with some modifications in order to facilitate the requirements of cooperative computations based on asynchronous network communication.

Each program consists of at least two automatically defined functions (ADFs, [21]). The first one is called on the startup of the program. The second function is invoked as an asynchronous function call whenever a message is received by the node, similar to an interrupt service routine (ISR) in the Intel 80x86® architecture [94, 95].

Each node has a global (process-scope) memory that resembles the data segment of a program which is accessible by from all function scopes. It allows the message handler, for instance, to store permanent information. Additionally, there is local memory private to the scope of each function call. This is essential to allow message handlers which were asynchronously invoked to process data without interfering with other procedures or each other [22].

All parameters of a function (such as the incoming message in case of the second ADF) are stored in its local memory. The instruction send which causes a message transmission to all nodes in reach has between one and two parameters which denote the contents of the message to be sent. Besides normal arithmetic expressions, the SGP language allows the definition of alternatives and while loops which take an expression and two (respectively one) blocks of instructions as parameters.

2) Extended SGP [eSGP]: Like RBGP, the SGP approach is not Turing-complete. We therefore introduce an extension similar to the one in Section V-B1. Here we also test another concept, an additional layer of indirection transparent to the GP system. With the special instruction decl, memory locations can be marked “for use”. Instead of accessing memory directly, programs now use virtual addresses which are indices into a translation table. This way, they are resolved to real addresses which are either direct or indirect.

An additional construct in the eSGP language is a for loop which takes a minimum and a maximum value of the loop counter as well as a block of instructions as parameter. The counter variable is automatically declared by the loop.

3) Linear Genetic Programming [LGP]: Trees are not the only way for representing programs. Indeed, a computer processes programs as sequences of instructions (which may contain branches realized by jumps to other places in the code) instead. The area of Genetic Programming concerned with such instruction string genomes is called linear Genetic Programming or LGP for short [96–98].

The advantage of LGP lies in the straightforwardness of evaluation and the simplicity of limiting the runtime and simulating parallelism since one instruction can be assigned to each time step which are distributed as shown in Fig. 4. We therefore chose such a format with extensions for supporting ADFs and the memory features of eSGP (but without the declaration feature and indirection) as the third approach for comparison. An LGP program is a variable-length list of integer strings – each list standing for one function.

The nodes executing these programs are three-address machines, i.e., machines where arithmetic instructions have up to three parameters: the target address and the addresses of two operands. In this, our LGP language is very similar to the one used by Lasarczyk and Banzhaf [74] in the Algorithmic Chemistry approach discussed in Section IV-B1. Conditional jumps (jmp) and function calls (call) use an internal flag register filled by a comparison instruction cmp with exactly the same semantics as in the Intel architecture [95]. For sending messages, a buffer similar to a stack is provided whose contents can be multicasted with a send instruction.
Because of the mentioned positive aspects, executing a LGP program in a simulation is much easier than doing the same with a SGP or eSGP program. We therefore automatically compiled all SGP and eSGP programs to the LGP representation before executing them in our network simulations. Since SGP and eSGP programs are practically incomprehensible\(^2\), this has the second advantage that the LGP phenotypes have a better readability than the SGP and eSGP genotypes. The third advantage is that LGP, SGP, and eSGP are now directly comparable.

4) Fraglets [Fragl]: The Fraglet language by Tschudin [55, 99] is an execution model for communication protocols which resembles the chemical reactions in living organisms. Fraglets are symbolic strings of the form \([s_1 : s_2 : \ldots : s_n]\). The symbols \(s_i\) either represent control information or payload. Each node in the network has a Fraglet store which corresponds to a reaction vessel in chemistry. Fraglet stores are implemented as multisets keeping track on the multiplicity of the Fraglets they contain.

The instruction set defined by Tschudin [55] comprises transformation and reaction rules for Fraglets. In the former, the first symbol of a Fraglet issues a change to its tail and in the latter, two Fraglet strings are combined according to the operation defined by the first symbol of one of them. In our experiments, we utilize a subset of the Fraglet language as of September 2007 [100] (encompassing point 1, broadcast, and ltl) with problem-specific extensions. For a discussion of the Fraglets language we refer the interested reader to [55, 56, 99, 101, 102], or [22].

5) Summary of the Approaches: All in all, we defined six different representations for Genetic Programming and adapted them to the evolution of distributed algorithms. The asynchronicity of our system model defined in Section III poses some specific requirements onto the program representation. Our system model, for instance, permits messages to arrive at nodes in short succession. In other words, a node might be busy processing one message while the next one is already received.

The Frag approach solves this problem in a very natural way: messages and the modules of the programs are both artificial molecules injected into the same "reaction vessel". Multiple messages just mean more molecules ready to react. In the rule-based programs, a symbol signals incoming messages and list their genomes, phenomes (if different from the genomes), whether they are Turing-complete (TC) or not, and what their equivalent of one single execution step is. The basic instruction sets utilized are given in Listing 5. Its full specification can be found in [22] which will be permanently online available. Division operations are not protected, a division by zero leads to a transition to erroneous of the issuing node.

### Table I

<table>
<thead>
<tr>
<th>Program</th>
<th>Genotype</th>
<th>Phenotype</th>
<th>TC</th>
<th>Step</th>
</tr>
</thead>
<tbody>
<tr>
<td>SGP</td>
<td>trees</td>
<td>LGP</td>
<td>no</td>
<td>1</td>
</tr>
<tr>
<td>eSGP</td>
<td>trees</td>
<td>LGP</td>
<td>yes</td>
<td>1</td>
</tr>
<tr>
<td>LGP</td>
<td>genome &amp; phenome, multiple functions</td>
<td></td>
<td>yes</td>
<td>1</td>
</tr>
<tr>
<td>Frag</td>
<td>genome &amp; phenome, multiple fragments</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RBGP</td>
<td>variable-length bit strings</td>
<td>rule sets</td>
<td>no</td>
<td>full</td>
</tr>
<tr>
<td>eRBGP</td>
<td>trees</td>
<td>all</td>
<td>yes</td>
<td>full</td>
</tr>
</tbody>
</table>

**Table I**

The six Genetic Programming approaches utilized in this work.

### Listing 5

<table>
<thead>
<tr>
<th>Instruction Set</th>
<th>Programs</th>
</tr>
</thead>
<tbody>
<tr>
<td>SGP: function_declaration, call(func,parameters), blocks, while, var=expr, return((\langle\text{expr}\rangle)), send((\langle\text{expr}\rangle)), comparison, +, -, *, /, and, or, not</td>
<td></td>
</tr>
<tr>
<td>eSGP: SGP + variable declaration, indirect memory access, for_loop</td>
<td></td>
</tr>
<tr>
<td>LGP: +, -, *, /, %, not, and, or, xor, =, xchg, jmp, call, send, comparison</td>
<td></td>
</tr>
<tr>
<td>Fraglets: dup, exch, fork, nop, null, pop2, split, lt, max, broadcast, node, id, terminate, match, matchP</td>
<td></td>
</tr>
<tr>
<td>RBGP: +, -, *, /, %, not, terminate, send</td>
<td></td>
</tr>
<tr>
<td>eRBGP: direct/indirect memory access, =, +, -, *, /, and, or, not, comparison, send, terminate</td>
<td></td>
</tr>
</tbody>
</table>

The instruction sets of the applied GP approaches.

the main routine or concurrently running instances. This work hence also explores three different mechanisms for message handling in Genetic Programming.

In Table I we summarize the six program representations and list their genomes, phenomes (if different from the genomes), whether they are Turing-complete (TC) or not, and what their equivalent of one single execution step is. The basic instruction sets utilized are given in Listing 5. Its full specification can be found in [22] which will be permanently online available. Division operations are not protected, a division by zero leads to a transition to erroneous of the issuing node.

### B. Experimental Configuration and Evaluation

1) General Configuration: All of our experiments are based on multi-objective Genetic Programming which we realize by a plain Pareto-ranking based fitness assignment procedure [18] which assigns one scalar fitness to each candidate solution representing its relative utility in comparison with the other members of the population. This assignment process also considers the Euclidean distances of the candidate solutions in the objective space and punishes individuals located very close to each other in order to further diversity. For the same purpose, we delete individuals with exactly the
In [107]'s original, we specified the functional objective function. Equation 1 does not hold. The result. Random numbers influence many Section IV indicators of each configuration, such as the fraction of runs in tests \[ \text{for diagrams illustrating the convergence of the optimization can only provide limited insight into which algorithm is of the best achieved objective values. However, such values which adequate algorithms evolved and the arithmetic mean process (which have been omitted for space reasons in this case in some well-known election schemes \[ \] that each node knows the IDs of the other nodes. (3) At startup, a node \( n \in N \) only knows its own ID \( \text{id}(n) \) (which is stored in a dedicated variable, symbol, or memory cell). (4) During the election, each node \( n_1 \in N \) will decide for a node \( n_2 \in N \) which thinks has won the election. It will store the ID of this node in another dedicated memory cell \( \text{elected}(n_1) = \text{id}(n_2) \).

The novelty of the election task defined here and compared to the “standard election problem” from [106] is Point 2. In the domain of novel distributed systems as described in the introduction, a possibly large number of nodes are deployed and the assumption from [106] that each node knows the IDs or the number of other nodes in the network will generally not hold.

In the area of Genetic Programming, a few attempts to solve the election problem have been recorded [26, 63]. Only in our work, however, a comparison between different Genetic Programming approaches in the election domain is performed [23].

1) Objective Functions: In order to derive such algorithms, we apply an evolutionary process governed by two objective functions: An objective \( f_{el} \) which furthers functional adequacy and a non-functional criterion \( f_{ps} \) which minimizes the size of the synthesized programs. We propose two possible definitions of functional adequacy for election algorithms: (a) without restrictions on the node to be elected and (b) the elected node should either have the maximum or minimum ID, as it is the case in some well-known election schemes [106, 107].

The programs we want to evolve here converge to the correct result. The problem definitions are likely to lead to the emergence of algorithms that keep the application or the operating system up-to-date about what the current guess about the leader is. If a node thinks that it is not the leader but receives messages for the leader, it would simply propagate them to the node which it assumes to be the elected one.

In Fig. 8, we specified the functional objective function \( f_{el \text{da}} \) for category-a algorithms. This function will always take on values between zero and one, where 0 is the optimum and 1 is the worst case.

\[
\left( \max_{x \in ids} x = \max_{n \in N} \text{id}(n) \right) \lor \left( \min_{x \in ids} x = \min_{n \in N} \text{id}(n) \right) \tag{1}
\]

The objective function \( f_{elb} \) is computed exactly like \( f_{el \text{da}} \), but adds a penalty of 1 to \( r \) if Equation 1 does not hold. The result of \( f_{elb} \) is then \( \forall x \text{ so that again } 0 \leq f_{elb}(x) \leq 1 \).

2) Experimental Settings: For each algorithm evaluation, 20 randomized scenarios with networks consisting of between 4 and 20 virtual machines were executed. The networks were organized in a linear topology where each node can only communicate with its direct predecessor and successor – the topology where the highest number of messages for finding the leader is to be expected. The message sizes were limited
to two memory words except in the Frag approach, where complete Fraglets are exchanged.

The LGP, SGP, and eSGP programs were provided with two cells of global and local memory each. Nodes executing RBGP
or eRBGP programs were equipped with two multi-purpose
variables and the length of Fraglets was limited to 15.

The IDs of the nodes were stored in the first global memory
cell (LGP, SGP, eSGP), a dedicated (writable) symbol (RBGP,
eRBGP), or available via a special Fraglet. It is possible that a
node can lose its ID during the execution of a program. Due to
the selection pressure during the optimization, however, only
such programs will survive that still function correctly, i.e.,
only lose the IDs that belong to nodes which will not become
the leader, if any. Notice that it is not important that a node
preserves the own ID. The evolved algorithms are assumed to
become a module of a software system and that a copy of the
ID exists outside of their scope. They just need to be able to
name the ID of their best guess on the winner of the election,
the executing process will then know whether it is the leader
or not.

We repeated the experiments with the two functional objectives combined with $f_{\text{EA}}$ in order to find out about the “GP
hardness” of the different aspects of this problem.

3) Evolved Algorithms: In the problem definition a), the
goal of the evolution was to find an election algorithm which
is able to name a winner after a certain amount of simulated
time steps. All GP approaches were able to solve this problem
by producing adequate programs, although largely differing in the fraction of successful runs. With the exception of the Frag
approach, the obtained programs most often belonged to the same algorithm classes presented

in Fig. 9 and Fig. 10, which were manually-derived from
the evolved solutions by removing unnecessary instructions
and homologous transformations. Interestingly, the algorithm
given in Fig. 9 behaves similarly to a Moran process [108]
in biology and works in almost all scenarios perfectly well
since it relies on the randomness in the message latencies. All
nodes repeatedly send the IDs they vote for and immediately
change their decision for the IDs they receive. Since the
whole system is asynchronous, votes may be overwritten by
messages before being propagated. Over time, IDs get extinct
and sooner or later, only one prevails. Regardless of the size
of the network $N$, it will eventually converge to a situation
where $\text{elected}(n_1) = \text{elected}(n_2) \forall n_1, n_2 \in N$. The algorithm
is not correct but works if the delay in the communication is
sufficiently random.

In its behavior, this algorithm is very different from all
traditional approaches to the election problem. In its structure,
it is probably the simplest solution possible, realizable with
only a few machine code instructions, and yet sufficient for
many applications, especially in the novel network types listed
in the introduction.

With the exception of the SGP approach, all Genetic Pro-
gramming methods could also find solutions to the problem
variant b) – again, with largely different success rates. The
evolved programs generally followed the scheme defined in
Fig. 10. An example for this behavior is the RBGP program
specified in Listing 6, where the symbol $id$ contains the
node’s ID, the result of the election is expected to appear in
$\text{out}_t$, and the $in_t$ and $out_t$ symbols are used for incoming and
outgoing message content$^4$. The evolved Fraglet algorithm
in Listing 7 is another example for this structure. (Notice that,
because of the different computational properties of Fraglets,
multiple elected Fraglets may occur in one node’s Fraglet
store. In this case, we assume that the ID corresponding to the
most frequent elected Fraglets was voted for, i.e., make a
majority decision.)

- 1) false V true $\Rightarrow$ $\text{out}_{t+1} = \text{in}_t$
- 2) $(\text{in}_t \geq \text{id}) \land true \Rightarrow \text{id}_{t+1} = \text{in}_t$
- 3) $(\text{in}_t \geq \text{id}) \land true \Rightarrow \text{out}_{t+1} = \text{in}_t$
- 4) true V false $\Rightarrow$ $a_{t+1} = \text{id}_t$
- 5) true $\land \text{(id}_{t} \neq a_{t}) \Rightarrow$ send

Listing 6. A RBGP program solving case b).

It extends Fig. 9 by imposing a condition on forwarding the
votes, reducing both the number of messages sent as well as
the time needed for convergence and removing the dependency
on randomness in the message delays. Its behavior exhibits
certain resemblance with Chang and Roberts’ message

$^4$ The $in_t$-symbols are non-zero only in case a message was just received
Fig. 10.  

1: begin  
2: Subalgorithm main  
3: begin  
4: elected(self) ← id(self)  
5: sendMessage(elected(self))  
6: end  
7: Subalgorithm onMessage(message)  
8: begin  
9: if message@ > elected(self) then  
10: elected(self) ← message@  
11: sendMessage(elected(self))  
12: end if  
13: end  
14: end  

[.broadcast : node : elected] //produce [node : elected]  
[broadcast] //useless  
[/useless] //useless  
//compute maximum of two [elected] fraglets and make a  
[match : ] which creates [broadcast] fraglets */  
[maxI : elected : match : elected : broadcast : elected]  
Listing 7. A Frag program solving case b) (more information: [22, 100]).

extinction algorithm [107], with the difference that it does not terminate. The evolution of near-adequate terminating election algorithms is discussed in [22].

4) Results: We define a run as successful if it yielded at least one adequate program, i.e., an individual with optimal values in the functional criteria. In this evaluation we take all experimental runs into consideration which completed at least 750 generations or were successful earlier.

In Table II we show the number of such successful runs in relation with the number of total runs.5 We furthermore distinguish the minimum (sI), mean (I), and maximum generation (tI) in which the first adequate program was found in the successful runs. Additionally, Table II shows the optimal (minimal, Iel) and mean (Iel) values of the functional and the non-functional objective fps.

For the sake of completeness, the mean T (in seconds) of the time consumed by all runs of a configuration is also illustrated. Although the different GP approaches have significantly different runtime requirements resulting from the complexity of simulating the corresponding virtual machines, this only becomes important when performing many runs. Even the slowest GP method (Frag) with around eight minutes per run is feasible for practical purposes from this perspective.

From Table II, it becomes obvious that eRBGP is a very strong Genetic Programming approach for the election problem. We used statistical tests in order to verify this hypothesis and illustrated the results in Fig. 11. The diagrams there represent partial orders where an arrow from an approach A to approach B means that B beats A in the corresponding criterion with a probability to err of less than 2% in a two-tailed test. The success rates were compared with Fisher’s exact test and for comparing st we used the Mann–Whitney U test.

<table>
<thead>
<tr>
<th>Case</th>
<th>s</th>
<th>t</th>
<th>sI</th>
<th>tI</th>
<th>fels</th>
<th>fels</th>
<th>fps</th>
<th>f</th>
<th>T[s]</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>37 35 0.95</td>
<td>76 198 782</td>
<td>0.000</td>
<td>0.052</td>
<td>10.0</td>
<td>17.8</td>
<td>316</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>32 0 0.00</td>
<td>98 981 981</td>
<td>0.216</td>
<td>0.339</td>
<td>10.0</td>
<td>15.6</td>
<td>207</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>55 43 0.78</td>
<td>114 354 997</td>
<td>0.000</td>
<td>0.147</td>
<td>10.0</td>
<td>17.3</td>
<td>227</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>57 1 0.02</td>
<td>98 1 981</td>
<td>0.000</td>
<td>0.310</td>
<td>10.0</td>
<td>17.2</td>
<td>202</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>42 21 0.50</td>
<td>92 325 765</td>
<td>0.000</td>
<td>0.331</td>
<td>10.0</td>
<td>18.5</td>
<td>55</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>39 2 0.05</td>
<td>488 637 786</td>
<td>0.000</td>
<td>0.542</td>
<td>10.0</td>
<td>10.5</td>
<td>67</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
give no clear preference to either SGP or eSGP.

The relation of the two rule-based approaches draws a very different picture. eRBGP solves all problems in all cases and practically dominates all other methods which, in turn, outperform plain RBGP most of the time. The former shows that the targeted design of representations can indeed lead to significantly better results. The ability of rule-based GP to duplicate rules and subsequently specializing them as well as the robustness against rearrangement of the instructions clearly pays off. Forcing it into the rigid two-condition rule structure of RBGP seemingly nullifies these advantages, as we anticipated in Section V-B2.

The Frag and the LGP method show moderate success rates. The reason why the Frag programs never exhibited a stochastic behavior as described in Fig. 9 is that an adequate algorithm with such a behavior cannot be expressed with the instruction set chosen in our experiment. Each adequate program must multiply elected Fraglets (at least via communication) and therefore, must possess a corresponding consuming rule. The deterministic max Fraglet is the only reaction in the instruction set which can be used for that purpose and it will always lead to the node with highest ID being elected.

D. Experiment 2: Critical Section

In the next set of experiments, we tackle a problem where, on one hand, the corresponding man-made solutions are more complex than those for the election problem. On the other hand, these solutions only implicitly involve computing and ordering of certain numbers, their quality is purely based on behavioral aspects.

This second problem is the mutual exclusion at the distributed critical section. The term critical section was coined by Dijkstra for the program code accessing a shared resource. He realized that software engineers must ensure mutual exclusion, i.e., guarantee that at most one process may execute its critical section at a time. Developing mutual exclusion algorithms for distributed systems is cumbersome since the processes are running concurrently on different nodes and have to communicate by the means of message exchange in order to cooperatively decide which node may enter its critical section. The first efficient distributed algorithms for mutual exclusion at a critical section were introduced by Lamport [64] in 1976 and by Ricart and Agrawala [110] in 1981, followed by Mackawa’s optimal solution in terms of the number of exchanged messages in 1985 [111].

A simple way to implement mutual exclusion would be to first elect a leader node in the network and then let this node decide who can utilize the critical section. Also, the network could repeatedly elect nodes which then can enter the critical section. Evolving such algorithms would mean to provide functionality surpassing election capability and hence, be harder than solving a single election problem. Therefore, one would expect that it should be a harder task than election. Furthermore, it requires two functional objectives, as we will show in the following section.

1) Objective Functions: The goal of this experiment is to evolve algorithms which (a) ensure mutual exclusion of the access to a shared resource as good as possible and (b) allow the processes to access this resource as often as possible. The synthesized programs are to follow the scheme used by Dijkstra and try to access the critical section in an infinite loop. Therefore, the program representations listed in Section VI-A are extended with the instruction enterCS, enterCS places the invoking node into passive mode for a randomly selected number w of time steps. This sleeping time is a simulation for accessing the shared resource and doing something useful with it. The node cannot be woken up during the w steps by any other event (such as incoming messages). Afterwards, it will resume execution normally. Hence, Genetic Programming is to find a way to embed calls to enterCS into properly synchronized loops.

In this experiment, two objectives (fcol and fus) focusing on functional adequacy are used together with the non-functional program size criterion fps defined in Section VI-C1.

fcol: A collision has occurred in a time step i when two processes A and B both have entered the critical section (by calling enterCS) without leaving it yet. k processes can cause 0.5k(k − 1) collisions in each such step. We define the objective function fcol as the total number of collisions during the simulated time divided by the maximum possible number of collision, i.e., normalized into [0, 1]. This function is again subject to minimization and we added a penalty of 1 before normalization if the critical section is not utilized during the simulation.

fus: Still, an optimal value of fcol may be reached by electing a leader and only allowing this node to access the shared
resource. A criterion for encouraging frequent usage of the critical section is required. The algorithm specified in Fig. 12 examines the observed behavior of a program x in a simulated network N. \( f_{\text{use}} \) is a mapping of a \( m \)-dimensional space to the real interval \([0, 1]\) (where \( m = \text{numNodes}(N) \) is the number of nodes in \( N \)). \( f_{\text{use}} \), subject to minimization, penalizes exactly the two non-solutions mentioned above. Trivial programs only achieve objective values very close to 1. Assume that \( total \to +\infty \) in Fig. 12 but \( \text{check}[k] = 1 \forall k \in 1, 5 \), then \( f_{\text{use}} \) evaluates to \( \frac{5m-5}{5m-4} \). For a network size \( m = 4 \), \( f_{\text{use}} \) becomes \( \frac{15}{16} = 0.9375 \) and for \( m = 23 \), \( f_{\text{use}} = \frac{140}{141} = 0.9900 \). The worst possible fitness value in cases where at least two nodes enter the critical section (\( total = 2 \), \( res = 1 \)) is \( \frac{5m-5}{5m-1} \) which is \( \frac{25}{24} = 0.90625 \) for a network consisting of \( m = 4 \) nodes and \( \frac{72}{73} = 0.98647 \) for \( m = 23 \) nodes.

2) Experimental Settings: The six Genetic Programming approaches defined in Section VI-A were applied to the critical section problem under the three objective functions \( f = \{ f_{\text{col}}, f_{\text{use}}, f_{\text{ps}} \} \). The SGP, eSGP, and LGP approaches were provided with an additionally defined function which is asynchronously called after \( \text{enterCS} \) returns. RBGP and eRBGP received a \( \text{csLeft} \) symbol set to 1 when the critical section was left and in the Fraglet stores of the Frag approach, a symbol \( \text{csLeft} \) was injected in this case.

For each algorithm evaluation, twenty scenarios with networks consisting of between four and 23 virtual machines were executed. We define a program \( x \) as marginally fair if it reaches \( f_{\text{use}}(x) \leq 0.90625 \). This threshold is the lowest boundary for network with four nodes where at least two nodes have accessed the critical section, as previously shown. We structured the networks in the simulations in a fully-meshed topology where each node can directly communicate with every other one.

3) Evolved Algorithms: In Listing 8 we specify the fairest program which evolved from all experimental runs. In the syntax used, access to the \( i \)th cell in local memory is denoted by \( 1[i] \) and to global memory as \( g[i] \). Like virtually all evolved adequate solutions for this problem, it follows the scheme of mutual stalling and delaying given in Fig. 13. Here, the communication medium is used as signaling device for synchronization. A node only enters the critical section if it did not receive any message for some time. The other nodes try to prevent this by frequently broadcasting messages. Whether a node can access the “shared resource” therefore again at least partly depends on the randomness of the message latency and parallelism.

At first glance, the evolved algorithms do not equal any other common method for protecting the critical section in distributed systems. This, however, is not true: They are Carrier Sense Multiple Access (CSMA, [65, 112]) protocols where the message sending in case of a free communication medium has been replaced with entering the critical section and listening whether the channel is busy is exchanged with checking whether messages were received.

One of the interesting features of the evolved algorithms in the SGP and eSGP representation is that they do not involve explicit loops although special language constructs for such structures were available. Even more interesting is that SGP and eSGP most often use code without any conditional branches. This trend, which similarly has been reported by Paterson [113] and Wän et al. [114], leads to the impression that these programs seem to be trivial or overfitted.

Yet, they are not. Despite never making use of any sophisticated feature, they achieve full functional adequacy in more than twenty randomly created scenarios, usually over many generations in the EA, and perform adequately if tested in scenarios (with a similar framework of parameters) not used for training. Functional adequacy here involves both, proper protection of the critical section and a fair resource utilization – the value \( f_{\text{use}} = 0.1529 \) for Listing 8 is indeed very good. Still, these programs are not correct solutions in the Dijkstra
sense, although – if configured properly – they would lead to satisfactory results if the application scenario allows a certain, low degree of uncertainty.

4) Results: A run of the critical section experiment was considered as successful if it yielded at least one individual \( x \) with the optimal value in the collision-minimizing objective function and which is at least marginally fair. Here we take all runs into consideration which have finished 700 generations.

In Table III, \( \sigma \) is again the number of total runs for each configuration. We further list the number \( p_r \) (and proportion \( p_r/\sigma \)) of runs which achieved to evolve individuals which could protect the critical section although not necessarily in a fair way. \( T \) is again the mean runtime. The two Standard Genetic Programming approaches achieved this in all experiments and LGP has a very high \( p_r \) rate. Both Rule-based Genetic Programming methods fall behind the Frag approach in this measure.

\( s \) is the number and \( s_r/\sigma \) is the proportion of successful runs, i.e., runs where at least one individual evolved for which \( p \) holds and where the critical section was accessed by more than one node. Such programs were only found with SGP, eSGP, and LGP.

We use the subscript \( z \) to annotate values belonging to individuals which possess at least marginal fairness. We determined the minimally-fair individuals with the best values of \( f_{col} \) for every single run and listed their mean value in the collision objective functions \( \left[ f_{col}\right]_z \) and the minimum \( \left[ f_{use}\right]_z \) and mean \( \left[ f_{use}\right]_z \) of the fairness-of-use criterion. Again, SGP, eSGP, and LGP perform best in these measures.

![Fig. 14. Partial order of the GP approaches according to \( \left[ f_{col}\right]_z \).](image)

We checked the significance of the trends reported above using a two-tailed Mann–Whitney U test with 2% significance level and illustrated them in Fig. 14. Here, the Standard Genetic Programming approaches dominate LGP which, in turn, dominates the other approaches.

5) Summary: The outcomes of the critical section experiments were surprising at the first glance, especially in the light of the results of the election experiments.

SGP and eSGP have effectively changed places with eRBGP and RBGP and now perform significantly better. The cause for these overtakes is the ability of SGP, eSGP, and LGP to create long sequences of instructions for slowing down the execution. Twelve out of the 15 instructions of the LGP language (in which the phenotypes of SGP and eSGP are specified) can be randomly inserted into the code in order to do this. Therefore, the Genetic Programming process, once it has identified the CSMA communication scheme, only has to adjust their number to the right amount in order to achieve good fitness. RBGP, eRBGP, and to a lesser amount, the Frag method, cannot do this. There is no such thing as sequential instruction processing in these approaches. Rules in Rule-based Genetic Programming are triggered by conditions and Fraglets react with each other. Thus, stalling and delaying as used by the algorithm defined in Fig. 13 becomes much more complicated. The experiment also provided the second indicator that tree-based SGP methods outperform pure linear Genetic Programming in this problem domain.

This experiment has also shown that Genetic Programming can evolve distributed algorithms in a multi-objective scenario. It is well known that the Pareto front may grow exponentially \([115]\) with the number of objectives. We already considered the critical section task itself to be harder than the election problem. Additional to this basic complexity, the number of functional objectives has increased to two while the non-functional criterion \( J_{f_r} \) was retained. A general requirement for evolving programs for sensor networks, for instance, would be to also minimize the energy consumption of the nodes. With solving the critical section, it became apparent that Genetic Programming is able to handle more than two objective functions.

This experiment is the second account for the evolution of algorithms which are adequate and may even work sufficiently well in practical scenarios. It is, however, also the second account for the evolution of algorithms which are not correct and differ much from what an engineering approach would yield.

### Table III

<table>
<thead>
<tr>
<th>Case</th>
<th>( \sigma )</th>
<th>( p_r/\sigma )</th>
<th>( s )</th>
<th>( s_r/\sigma )</th>
<th>( \left[ f_{col}\right]_z )</th>
<th>( \left[ f_{use}\right]_z )</th>
<th>( \left[ f_{use}\right]_z )</th>
<th>( T [s] )</th>
</tr>
</thead>
<tbody>
<tr>
<td>SGP</td>
<td>38</td>
<td>1.00</td>
<td>37</td>
<td>0.97</td>
<td>3 \times 10^{-10}</td>
<td>0.185</td>
<td>0.364</td>
<td>286</td>
</tr>
<tr>
<td>eSGP</td>
<td>46</td>
<td>1.00</td>
<td>45</td>
<td>0.98</td>
<td>1 \times 10^{-7}</td>
<td>0.153</td>
<td>0.367</td>
<td>299</td>
</tr>
<tr>
<td>LGP</td>
<td>32</td>
<td>0.91</td>
<td>8</td>
<td>0.25</td>
<td>4 \times 10^{-4}</td>
<td>0.478</td>
<td>0.811</td>
<td>75</td>
</tr>
<tr>
<td>Frag</td>
<td>27</td>
<td>0.52</td>
<td>0</td>
<td>0.00</td>
<td>0.002</td>
<td>0.884</td>
<td>0.899</td>
<td>446</td>
</tr>
<tr>
<td>RBGP</td>
<td>23</td>
<td>0.26</td>
<td>0</td>
<td>0.00</td>
<td>0.004</td>
<td>0.742</td>
<td>0.870</td>
<td>424</td>
</tr>
<tr>
<td>eRBGP</td>
<td>37</td>
<td>0.14</td>
<td>0</td>
<td>0.00</td>
<td>0.004</td>
<td>0.807</td>
<td>0.893</td>
<td>321</td>
</tr>
</tbody>
</table>

The Genetic Programming approaches in direct comparison in the critical section experiments.
rewards programs which decide gcd.1 and gcd.2: converge to this number.

order to prevent the evolution of algorithms which simply number min

to find the problem by only giving rewards if a node has found the number

gcdVal

starts with an own number n.num which will be its first guess about what the GCD of all numbers distributed over the network is. Step by step, the gcdVal(n) values of all nodes n ∈ N will converge to the real GCD.

1) Objective Functions: The initial situation of a network N be that each of its nodes n ∈ N knows exactly one number n.num ∈ N1. We wish to evolve programs which, if executed on these nodes, compute the greatest common divisor corr = gcd.own_num of all the numbers distributed over the network. This number should be stored in a special variable or symbol gcdVal(n) on each of the nodes n. The non-continuous nature of the GCD problem prevents any approximative results and strictly limits the set of possible solutions. We therefore can expect that it will be the hardest one amongst the three tasks presented in this article.

Another aspect of GP is that we generally can derive multiple objective functions for the same behavior specification. In the critical section experiment, for instance, we could have replaced f_use with a function which returns the arithmetic mean of the number csTimes(n) of times the nodes n ∈ N have executed their critical sections. In the distributed greatest common divisor experiments, we want to also test how different functional criteria for the same behavior influence the results of the evolution, whether effort put into defining a criterion which also rewards partial solutions actually pays off or not. We therefore define two functional objective functions gcd.1 and gcd.2:

gcd.1 (specified in Fig. 16) rewards programs which decide for return values “gcdVal” divisible by the correct result corr. This reward increases when the algorithms get closer to the real result. All values greater or equal to the minimum initial number min_num ∈ N.num receive the same default fitness in order to prevent the evolution of algorithms which simply converge to this number.

gcd.2 (specified in Fig. 17) provokes the all-or-nothing problem by only giving rewards if a node has found the correct GCD. f_gcd.2 provides little more information than a Boolean decision criterion about the correctness of a program. Although it can take on more than two values since all nodes in the simulated networks are considered separately, it can be assumed that only correct (or close-to-adequate) algorithms can score results lower than 1. Hence, f_gcd.2 should be the driving force of the evolution and many very small programs are

Fig. 15. gcdVal(self) ← distributedGCD
1: Input: self.num ∈ N1; the own number
2: Output: gcdVal(self) ∈ N1; the result of the GCD computation
3: begin
4: Subalgorithm main
5: begin
6: gcdVal(self) ← self.num
7: sendMessage(gcdVal(self))
8: end
9: Subalgorithm onMessage(message)
10: begin
11: gcdVal(self) ← (gcdVal(self) - 1) mod message[0] + 1
12: sendMessage(gcdVal(self))
13: end
14: end

Fig. 16. f_gcd.1(x) ← gcdFunctionalObjective1(x, N)
1: Input: x: the simulated program
2: Input: N: the network after the simulation has ended
3: Output: f_gcd.1(x): the objective value x simulated in N
4: begin
5: values ← ()
6: ownNum ← 0
7: minLength ← +∞
8: corr ← 0
9: for all n ∈ N do
10: if n.num < minLength then
11: minLength ← n.num
12: end if
13: if n.state ≠ erroneous then
14: store gcd value
15: values ← addListItem(values, gcdVal(n))
16: count nodes which think gcd = own number
17: if gcdVal(n) = n.num then
18: ownNum ← ownNum + 1
19: end if
20: else
21: errors are treated like gcd=own number
22: ownNum ← ownNum + 1
23: end if
24: if corr = 0 then
25: corr ← n.num
26: else
27: corr ← gcd(corr, n.num)
28: end if
29: end for
30: div ← 1
31: for i ← len(values) - 1 down to 0 do
32: r ← ownNum
33: if the value could be some sort of correct interim result...
34: if (values[i] > 0) ∧ (values[i] mod corr = 0) then
35: if values[i] ≥ minLength then
36: r ← r + tv
37: else
38: r ← r + 1 - (div * values[i] - corr)
39: end if
40: end if
41: end if
42: return 1 - r
43: numNodes(N)
44: end

Fig. 17. f_gcd.2(x) ← gcdFunctionalObjective2(x, N)
1: Input: x: the simulated program
2: Input: N: the network after the simulation has ended
3: Output: f_gcd.2(x): the objective value x simulated in N
4: begin
5: corr ← 0
6: for all n ∈ N do
7: if corr = 0 then
8: corr ← n.num
9: else
10: corr ← gcd(corr, n.num)
11: end if
12: end for
13: r ← 0
14: for all n ∈ N do
15: if (n.state ≠ erroneous) ∧ (gcdVal(n) = corr) then
16: r ← r + 1
17: end if
18: end for
19: return min(numNodes(N) - r)
20: numNodes(N)
21: end
likely to occur. Since all programs solving the GCD problem adequately have a certain minimum size, we set a lowest boundary of 25 for \( f_{ps} \) under which it cannot drop.\(^6\)

2) **Experimental Settings:** We used the same settings as for the election experiment (see Section VI-C2) except that we evolved the algorithms in a rectangular topology where each node had up to four neighbors to communicate with and provided four variables to the RBGP and eRBGP approaches and four global and local memory cells to the SGP, eSGP, and LGP Genetic Programming methods.

We repeated the experiments for the different functional optimization criteria \( \text{gcd.1} \) and \( \text{gcd.2} \). While ensuring that in each simulated scenario, the correct result of the GCD was different from one. We did not include the Fragg approach in our experiments because this would have required too much of a deviation from the instruction set given in Listing 5 and in [100] used until now.

3) **Evolved Programs:** eRBGP solved the distributed GCD problem most often. One of the adequate programs it was able to synthesize is shown in Listing 9, where the initial number of a node is its ID \( \text{id}_t \) and the result of the distributed computation is expected to occur in variable \( \alpha_t, \text{in}_t, \text{out}_t \) are again symbols where incoming and outgoing message content will be put in.

In Mattern’s method, the values of \( \text{gcdVal}(n) \) were prevented from becoming zero by adding one to the result of the modulo division used to compute the GCD step by step (line 11 in Fig. 15). Like the program in Listing 9, most of the algorithms evolved with eRBGP follow a different approach given as Fig. 18. They store the modulo of the current estimate of the GCD and the received value in a temporary variable. This variable is then written back to the estimate if it is not zero.

Listing 10 reveals a problem with which a reader may find herself confronted when analyzing an evolved program. Even with a simple syntax, Genetic Programming may produce incomprehensible code because of the lack of targeted design and intention. For the SGP, eSGP, larger RBGP/eRBGP, and Fragg programs, this is even much worse which is also the reason why we stated the evolved results in form of algorithms evolved. Now, it is just used to delay the execution in order variables with non-obvious calculations. Finding out which of the instructions in Listing 10 are useful and which are not is actually complicated.

At first glance, function_2, for instance, seems to play an important role in the GCD computation since it is invoked from multiple locations, receives values extracted from the received messages as parameters, and contains modulo division operations. It possibly was important during the early phase of the evolution and became degraded as more efficient code evolved. Now, it is just used to delay the execution in order

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\(^6\)This boundary was determined in the first experimental series with \( I \) where it was not yet applied.
to ensure that the first instruction of function_0 (g[l] = g[0]) has taken place before the real distributed computation begins, which is performed by the first six lines of function_1. Furthermore, the GCD estimates are exchanged between the nodes in their ones complements for no particular reason. Because of the vulnerability of the first value assignment, this program (unlike Listing 9) is not correct but only adequate. 

4) Results: In Table IV, we have noted the same measurements as provided for the election experiment in Table II. The number of successes s., and proportion s./ of successful runs in relation to the number of total runs is very low in all configurations. Only eSGP and eRBGP have a non-zero success ratio and only eRBGP finds an adequate program more than once. For the second way of expressing the functional optimization criterion (f_gcd), only eRBGP – seemingly accidentally – finds one single solution.

In terms of the minimum (s_t), mean (s_T), and maximum generation (s_T) in which the first adequate program was found in the successful runs, eRBGP is therefore again best. The same goes for the minimum and mean functional objective values (f_gcd, f_gcd) of the individuals with the best functional objective values of each run. Generally, these values are much better for case 1 than for case 2 of the GCD problem.

Like in the election experiment, we have compared the success rates of the different Genetic Programming approaches with Fisher’s exact test and the best values f_gcd_1 of the functional objective functions with the Mann–Whitney U test. For both tests, we again used the two-tailed variants with a significance level of 2%. Because of the low success rates of the experiments, most trends turned out to be insignificant and only few, strong relations (illustrated in Fig. 19) could be confirmed.

In order to clarify the question whether Genetic Programming indeed utilizes the information gained from sampling the search space efficiently, we specified the second functional criterion f_gcd_2. Since the value of f_gcd was bounded below, we would expect the search to behave like parallel random walks in the space of programs which are not much longer than the specified minimum size and to find solutions only very rarely.

The result of the experiments with f_gcd_2 fully meet this expectation. Only one solution was found in more than 760 runs. We compared the success rates for f_gcd_1 and f_gcd_2 and found that there only was a significant difference for eRBGP. This does not necessarily mean that the other approaches do not perform any better than (bounded) random walks – with more runs, the differences may become significant – but it means that eRBGP definitely does.

5) Summary: Our experiments targeting the evolution of algorithms for computing the greatest common divisor in a distributed way led us to two conclusions. First, the GCD task seems to be the most complicated one of all the problems which we have tested. Although two GP approaches found solutions for it, the success rates of the experiments are very low. Second, only eRBGP is significantly better than a (bounded) random walk in this domain. Here, it is not sufficient to combine random instructions in a way similar to the CSMA method created by eSGP in Section VI-D3. Instead, a well defined computation has to be assembled. Similar to the second election experiment where clear criteria for the computation result existed, eRBGP dominated the other approaches, although it still had a low success rate.

On one hand, these results reveal the hardness of needle-in-a-haystack problems which we anticipated in Section IV-C and to which the GCD task seems to belong for the traditional GP representations. On the other hand, it is a further indicator for the strength of eRBGP and justifies its design outlined in Section V. Whether a problem has or has not NIAH characteristics depends on the program representation to a large degree and, for the rule-based program structure, the GCD problem is indeed easier to tackle. Furthermore, the results evolved by eRBGP, such as the program illustrated in Listing 9, this time not only are adequate but correct.

VII. CONCLUSIONS AND FUTURE WORK

In the introduction, we motivated that Genetic Programming could be used as a foundation for a new design approach for distributed algorithms. We analyzed the possible difficulties of this problem domain and developed two program representations from which we expected that they may have beneficial features in this context. We applied these representations to three example problems from distributed computing and compared their performance to four other GP approaches.

A. Choice of Scenarios

The reasons for applying our method to three different problems were twofold. First, when evaluating new techniques – be it for software design or in any other area – testing them on one or two scenarios only cannot be considered a significant sample and only provides weak indications for their utility. By presenting three test problems, we aimed at striking a balance between providing more evidence for the utility of GP and
retaining a suitable length for an article. Second, the three scenarios each have characteristic features and pose different problems.

The election task outlined in Section VI-C is a problem of moderate hardness which has already been tackled in the past [26, 63]. Yet, this is the first study which compares the performance of different GP approaches in this domain. The second advantage of this problem is that the spectrum of achieved success rates is wide enough to allow fine-grained and yet statistically significant comparisons amongst them (see Fig. 11 as opposed to Fig. 19).

Of all three problems, the critical section task is the only one which does not necessarily involve computing numerical values, although all practical solutions for fully-meshed networks do this. Also, in this scenario we tested the influence of multiple functional criteria on the optimization process. The results of this experiment were surprising at first glance, since only here the Standard Genetic Programming approaches outperformed Rule-based Genetic Programming significantly. Analyzing it revealed that the strength of rule-based programs in some cases can also be their weakness: They do not have explicit execution sequences.

Finally, in the distributed GCD experiment, we had a very tight specification of what adequate means. Simple solution structures circumventing the complexity of man-made solutions here cannot achieve adequacy which turns the problem into a needle-in-a-haystack setting. In this scenario, we also introduced an all-or-nothing objective which allowed us to check whether GP actually can beat random walks in such scenarios.

The focus of our work presented here was on running large-scale experiments with significant outcomes. Even though using large populations is quite common in Genetic Programming [117–120], we intentionally utilized relatively small populations of 512 individuals. This makes our results highly relevant, because even better outcomes can be expected if bigger populations are used for actual applications.

B. Experimental Results

At the end of each experiment section, we provided a summary of conclusions drawn from it. Here, we present five general and important lessons learned.

First, with the work outlined here we have shown that adequate algorithms for distributed computations can be evolved with Genetic Programming. This is true despite the fact that such optimization tasks exhibit a variety of problematic facets discussed in Section IV and are certainly amongst the most difficult ones.

Second, our study is the only one which compares the performance of diverse GP approaches in the area of evolving distributed algorithms. Based on our experiments, we found that the choice of Genetic Programming approach has a tremendous influence on the chance of success. Different program representations can lead to different results, to different chances of success, and may need different numbers of generations to converge. Also, there likely is no single best program representation [121]. While our eRBGP was very powerful in the tasks where algorithms computing single numbers were to be evolved, it failed in the critical section domain. Here, SGP, eSGP, and LGP performed much better since they, unlike the rule-based or Fraglets approaches, are based on the concept of sequential instruction processing established in virtually all of today’s computers. Yet, such structures have a high positional epistasis which renders them less efficient or even infeasible in situations where more complex algorithms are to be synthesized.

This leads over to the third lesson, to the targeted design of program representations. We designed the two new representations RBGP and eRBGP especially with this goal in mind, as described in Section V. RBGP has a well-defined structure which allows us to encode the programs in binary form which can be evolved with a normal GA. However, in Section V-B we pointed out that well-defined can also mean rigid and may restrict the freedom of the evolution. And indeed, lifting the structural limitations and using a tree genome for encoding the programs was extremely beneficial, even though the search space was further increased by introducing indexed memory – a feature which turned out to be only rarely used by the evolution in our experiments. Still, eRBGP was the most successful approach (except in the critical section experiment) and is likely to perform well in many other problem domains whereas the performance of RBGP was sub-par.

Fourth, especially the DGCD experiment in Section VI-E substantiates the assumption that the choice of the objective functions has an extreme impact on the chance of success. All-or-nothing criteria should be avoided by all means and instead, objectives which reward partial solutions should be developed. Hence, before starting large-scale experiments, some small-scale runs should be performed for testing different criteria.

Finally, there is the question of whether indexed memory, used to achieve Turing completeness in some of the program representations, is beneficial or not. eRBGP often achieved much better results than RBGP. This may have either been rooted in its Turing completeness or in the higher degrees of freedom for constructing complex expressions. We also tested two other GP approaches which are similar and between which the main distinction is the availability of indexed memory respectively the lack of it: eSGP and SGP. Between these two methods, no significant difference in performance could be detected in most of the experiments. Therefore, we believe that the versatility provided by the tree genome is the decisive difference between RBGP and eRBGP. From this perspective, our results do not allow us to decide whether the usage of indexed memory is beneficial (in cases where it is not necessarily needed, such as in our experiments). However, we could not detect any disadvantage either – although the presence of indexed memory leads to more degrees of freedom and thus, enlarges the search space. More experiments on this issue would thus be interesting.

It should further be noted that runtime is also a concern when evolving distributed algorithms. In the work presented here, we focused on carrying out a large-scale experimen-
tal study which provides conclusive results. Therefore, we performed more experiments than what would actually be needed in a real application. This led to a runtime of over 1280 processor days distributed over a cluster in the election experiment, for instance. Time consumptions of six to ten minutes per generation for a population consisting of 512 individuals would, however, also be expected in practical scenarios.

C. Criticism of the Idea

In Section IV-C, we raised the most severe objection against the idea of evolving (distributed) algorithms: the results are not necessarily correct. However, some of the larger-scale distributed system types named in the motivation of our approach tolerate a certain amount of malfunction. In sensor networks, for instance, some of the nodes will inevitably fail due to depleted batteries. In MANETs, temporary network partitions may occur. Especially for networks of these kinds, evolving algorithms may indeed be a useful software design approach. When a critical section protection scheme can fail due to network partitions, an additional small chance of failure of a CSMA-scheme due to the structure of the algorithm may not be a big problem.

For other scenarios where correctness is required, applying a subsequent model checking step after the evolutionary algorithm synthesis in order to weed out incorrect solutions would be a viable way to make use of our method. Generally, GP can help to open our eyes for strange but effective solutions: In the literature known to the authors, CSMA has not been considered for critical sections.

With the work presented in this article, we made a first step towards a new algorithm design method for distributed systems. We do not aim at replacing the existing approaches, but believe that we will be able to complement them. In order to achieve this goal, we openly discussed both the strengths and the weaknesses of our idea and hope that this way, an open and fruitful debate can be started.

D. Future Work

Although we provided the necessary optimization and Genetic Programming frameworks, a certain learning curve is still unavoidable. In [25, 122], we already discussed the integration of the results of Genetic Programming into a model-driven development (MDD) process. However, we would like to use MDD tools not only as backend for GP but also as frontend. The utility of our method will increase very much if it becomes a viable way to make use of our method. Generally, GP can help to open our eyes for strange but effective solutions: In the literature known to the authors, CSMA has not been considered for critical sections.

With the work presented in this article, we made a first step towards a new algorithm design method for distributed systems. We do not aim at replacing the existing approaches, but believe that we will be able to complement them. In order to achieve this goal, we openly discussed both the strengths and the weaknesses of our idea and hope that this way, an open and fruitful debate can be started.

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